

Application No

Branch Code

Trading A/c.No

Demat A/c. No

KNOW YOUR CLIENT (KYC) APPLICATION FORM

NSE | BSE | MCX | CDSL

PSB SECURITIES LIMITED

Regd. Office : No. 102, AC Block, 2nd Street, 6th Main Road,
Anna Nagar, Chennai - 600 040. Tel No. : 044 - 71 300 300 (99 Lines)

Email : customercare@apollomoney.com

website: www.apollomoney.com

CIN No. : U67120TN2009PLC070673



INDEX OF DOCUMENTS

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		B.Document captures the Additional Information about the client relevant to Trading Account and beneficial owner's account	7-10
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6	Rights and Obligations - Trading and Depository Participant	Document stating the Rights & Obligations of the Stock Broker , Authorised Person and Client for trading on stock and commodity exchanges , Rights and obligations of beneficial owner and depository participant as prescribed by SEBI and Depository	Customer Copy
7	Risk Disclosure Documents (RDD)	Document detailing risks associated with dealing in the securities/commodity market	
8	Guidance Note	Document detailing do's and don'ts for trading on stock exchange/commodity exchange for the education of the investors.	
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Application No. _____

PSB SECURITIES LIMITED

SEBI Registration No: INZ000164630 / IN DP – 05 -2015

No 102, AC Block, 2nd Street, 6th Main Road,
 Anna Nagar, Chennai – 600040
 Tel No: 044: 71300300 (99 Lines)
 Email: customercare@apollomoney.com
 website: www.apollomoney.com
 CIN No. : U67120TN2009PLC070673

Exchange and Depository Participant Codes :

NSE	BSE	CDSL	MCX
13397	6104	12065600	40540

Details of Clearing Member Details

NSE – Equities & Derivatives , Currency Derivatives	Globe Capital Market Limited SEBI Registration No. INZ000177137
MCX – Futures & Options	Globe Commodities Limited SEBI Registration No. INZ000024939

CEO & Compliance Officer: Mr.P.B.Subramaniyan
 Tel No: 044: 71300351 | Email: pbs@apollomoney.com

For any grievance/dispute please contact: PSB Securities Limited at the above address or
 Email us at: investorgrievance@apollomoney.com, Tel No: 044: 71300300.

In case not satisfied with the response, please contact the concerned exchange at the contact details given below

Stock Exchange	Telephone	E-Mail
National Stock Exchange of India Limited	022 : 26598190	ignse@nse.co.in
Bombay Stock Exchange Limited	022 : 22728138	is@bseindia.com
Multi Commodity Exchange of India Limited	022 : 67318888	grievance@mcxindia.com


☐ 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

☐ Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*																	
Line 2																	
Line 3																	
District*						Pin / Post Code*						City / Town / Village*					
						State / U.T Code*								ISO 3166 Country Code*			

☐ 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

☐ Same as Current / Permanent / Overseas Address details ☐ Same as Correspondence / Local Address details

Line 1*																	
Line 2																	
Line 3																	
State*						ZIP / Post Code*						City / Town / Village*					
												ISO 3166 Country Code*					

☐ 5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off)						Tel. (Res)						Mobile									
FAX						Email ID															

☐ 6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

☐ Addition of Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type*	<input type="checkbox"/> Guardian of Minor		<input type="checkbox"/> Assignee		<input type="checkbox"/> Authorized Representative	
	Prefix	First Name	Middle Name	Last Name		
Name*						

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

<input type="checkbox"/> A- Passport Number						Passport Expiry Date	DD - MM - YYYY				
<input type="checkbox"/> B- Voter ID Card											
<input type="checkbox"/> C- PAN Card											
<input type="checkbox"/> D- Driving Licence						Driving Licence Expiry Date	DD - MM - YYYY				
<input type="checkbox"/> E- UID (Aadhaar)											
<input type="checkbox"/> F- NREGA Job Card											
<input type="checkbox"/> Z- Others (any document notified by the central government)						Identification Number					
<input type="checkbox"/> S- Simplified Measures Account - Document Type code						Identification Number					

☐ 7. REMARKS (If any)

8. APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : DD - MM - YYYY

Place :

(2) [Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

IPV Done ☐ ON DD / MM / YYYY

Documents Received ☐ Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date	DD - MM - YYYY				
Emp. Name					
Emp. Code					
Emp. Designation					
Emp. Branch					

[Employee Signature]

INSTITUTION DETAILS

Name	PSB SECURITIES LIMITED														
Code	IN 21 55														

[Institution Stamp]

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Individual KYC Application Form

General Instructions:

- Fields marked with “*” are mandatory fields.
- Tick ‘✓’ wherever applicable.
- Self-Certification of documents is mandatory.
- Please fill the form in English and in BLOCK Letters.
- Please fill all dates in DD-MM-YYYY format.
- Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- KYC number of applicant is mandatory for updation of KYC details.
- For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
- In case of ‘Small Account type’ only personal details at section number 1 and 2, photograph, signature and self-certification required.

A Clarification / Guidelines on filling ‘Personal Details’ section

- Name:** Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- Either **father’s name** or **spouse’s** name is to be mandatorily furnished. In case PAN is not available father’s name is mandatory.

B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

- Tax identification Number (TIN):** TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a “Functional equivalent”), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

C Clarification / Guidelines on filling ‘Proof of Identity [Pol]’ section

- If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- Mention identification / reference number if ‘Z- Others (any document notified by the central government)’ is ticked.
- In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (S).

Document Code	Description
01	Identity card with applicant’s photograph issued by Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

D Clarification / Guidelines on filling ‘Proof of Address [PoA] - Current / Permanent / Overseas Address details’ section

- PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
- State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

E Clarification / Guidelines on filling ‘Proof of Address [PoA] - Correspondence / Local Address details’ section

- To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- In case of multiple correspondence / local addresses, Please fill ‘**Annexure A1**’

F Clarification / Guidelines on filling ‘Contact details’ section

- Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- Do not add ‘0’ in the beginning of Mobile number.

G Clarification / Guidelines on filling ‘Related Person details’ section

- Provide KYC number of related person if available.

H Clarification / Guidelines on filling ‘Related Person details – Proof of Identity [Pol] of Related Person’ section

- Mention identification / reference number if ‘Z- Others (any document notified by the central government)’ is ticked.

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		



Please fill in ENGLISH and in BLOCK LETTERS

[illegible]

5. Permanent Account Number (PAN) (MANDATORY) Please enclose a duly attested copy of your PAN Card

[illegible]

*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** | d | d | / | m | m | / | y | y | y | y

*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** d d / m m / y y y y

2. Any other information:

Date: _____

(1) 

(2)

Signature: _____

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant

PAN of the Applicant

Sr. No.	PAN	Name	DIN (For Directors) / Aadhaar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph

(1)a

Name & Signature of the Authorised Signatory(ies)

CVL

APOLLO moneySM
PSB SECURITIES L TD

d | d | / | m | m | / | y | y | y | y |

Date



TRADING AND DEMAT ACCOUNT RELATED DETAILS

For Individuals & Non - Individuals

A. BANK ACCOUNT(S) DETAIL

Bank Name and Branch Address	Bank Account No.	Account Type Savings /Current / NRE / NRO	MICR Number	IFSC Code

B DEPOSITORY ACCOUNT(S) DETAILS

☐ I/We want to open New Demat A/c (Please fill details on Page No. 14)

I/We already have Demat A/c (Please Provide following details through which transaction will generally be routed)

SECONDARY DEPOSITORY ACCOUNT DETAILS

Name of the Depository	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL	
DP Name		Beneficiary Name
DP ID		Beneficiary ID

C COMRIS / CCRL ACCOUNT DETAILS

Participant Name		Client Name :
Participant ID		Client ID :

D GST REGISTRATION DETAILS

GST Registration Number :	
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E. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

NSE	CASH	3a
	FUTURES AND OPTIONS	3b
	CURRENCY DERIVATIVE	3c
BSE	CASH	3d
MCX	FUTURES AND OPTIONS	3e

If you wish to trade in derivatives (FNO/Currency Derivatives) segments, submit ANY ONE of the following proof

- Bank Statement/Passbook (For atleast 6 months period and last entry should not be older than 3 months)
- Demat A/c Holding Statement (with Value & duly stamped by DP)
- Latest ITR Acknowledgement
- Latest Salary Slip/Copy of Form 16
- Any other relevant documents substantiating ownership of assets
- Latest Networth Certificate
- Self Declaration along with relevant Supporting documents
- Latest Annual Accounts

F. PAST ACTIONS

Details of any action/proceedings initiated /pending / taken by SEBI/ Stock exchange / any other authority against the applicant /constituent or its Partners / promoters/whole time directors /authorized persons in charge of dealing in securities during the last 3 years	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, give details
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TRADING AND DEMAT ACCOUNT RELATED DETAILS

For Individuals & Non - Individuals

G. DEALINGS THROUGH OTHERS STOCK BROKERS

If client is dealing through the sub-broker / Authorised Person (AP)

AP Name	
SEBI Registration number	
Registered office	
Phone	Fax :
Website	

Whether dealing with any other stock broker / AP (if case dealing with multiple stock brokers / AP, provide details of all)

Name of Stock Broker	
Name of AP	
Client Code (UCC)	
Exchange	
Details of disputes/ dues pending from / to such stock broker/AP	

H. ADDITIONAL DETAILS

Whether you wish to receive following documents

Contract Note	Physical <input type="checkbox"/> Electronic <input type="checkbox"/>	Specify your Email ID, if applicable
Policy Documents - Stock Exchange	Physical <input type="checkbox"/> Electronic <input type="checkbox"/>	
Policy Documents - Depository Participant	Physical <input type="checkbox"/> Electronic <input type="checkbox"/>	
Policy Documents - Commodity Exchange	Physical <input type="checkbox"/> Electronic <input type="checkbox"/>	

Whether you wish to avail of the facility of internet trading / wireless technology (Please specify)

☐ Internet Trading ☐ Mobile Trading

Investment / Trading Experience

- ☐ _____ No Prior Experience
☐ _____ Year in Equities
☐ _____ Year in Derivatives
☐ _____ Year in Other Investment Related Fields

I. INCOME, EDUCATION AND OTHER DETAILS

Gross Annual Income Details

Income Range (Per Annum in ₹) <input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 10-25 Lacs <input type="checkbox"/> ₹ 1-5 Lacs <input type="checkbox"/> > ₹ 25 Lacs <input type="checkbox"/> ₹ 5-10 Lac	OR	Net - worth in ₹ Amount (₹) _____ as on (date) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (Net worth should not be older than 1 year)
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Occupation (please tick (✓) any one and give brief details):

- | | | | |
|---|--|------------------------------------|---------------------------------------|
| <input type="checkbox"/> Private Sector | <input type="checkbox"/> Business | <input type="checkbox"/> Retired | <input type="checkbox"/> Forex Dealer |
| <input type="checkbox"/> Public Sector | <input type="checkbox"/> Professional | <input type="checkbox"/> Housewife | <input type="checkbox"/> Others |
| <input type="checkbox"/> Government Service | <input type="checkbox"/> Agriculturist | <input type="checkbox"/> Student | (Please specify) |

Brief Details of Occupation

 Please tick, if applicable,
 For definition of PEP, please refer
 guideline overleaf

- ☐ Politically Exposed Person (PEP)
☐ Related to a politically exposed Person (PEP)



TRADING AND DEMAT ACCOUNT RELATED DETAILS

For Individuals & Non - Individuals

H. NOMINATION DETAILS - for individuals only (optional)

I/We wish to nominate	<input type="checkbox"/> Yes <input type="checkbox"/> No
Name of the Nominee	
Relationship with the Nominee	
Nominee Father's Name	
PAN of Nominee	
Date of Birth of Nominee	
Address of the Nominee	
Phone No. of the Nominee	

If Nominee is a minor, details of guardian

Name of guardian	
Address/Phone No. Guardian	
Signature of guardian	

WITNESS (Only applicable in case the account holder has made nomination)

WITNESS :		WITNESS :	
Name		Name	
Signature		Signature	
Address		Address	

I. INTRODUCER DETAILS (optional)

Name of the Introducer	
Status of the Introducer	<input type="checkbox"/> Authorised Person <input type="checkbox"/> DSA <input type="checkbox"/> Existing Client <input type="checkbox"/> Other (Please specify) _____
Address of the Introducer	
Phone Number	
Signature of the Introducer	

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place :	④
Date	Signature of Client (All) Authorised Signatory (ies)



TRADING AND DEMAT ACCOUNT RELATED DETAILS

For Individuals & Non - Individuals

FOR OFFICE USE ONLY

UCC Code allotted to the Client :

	Documents verified with Originals	Client Interviewed By	In person verification done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Date _____

Signature of the Authorised Signatory

Seal / Stamp of the stock broker

Pro - Trading Disclosure

Dear Client,

This is to inform you that we do client based trading and pro-account trading in National Stock Exchange of India limited (NSE), Bombay stock Exchange Limited (BSE) & Multi Commodity Exchange of India Ltd (MCX).

Signature (5)

Signature of the Authorised Signatory

FATCA / CRS Declaration / Self Certification for Individual

Client Name		
1. Are you U.S. Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
2. Specify country of residence for tax purpose (Tax Residency)	<input type="checkbox"/> India <input type="checkbox"/> Other	
3. Specify country of citizenship	<input type="checkbox"/> India <input type="checkbox"/> Other	

Note : If you are a U.S. person and / or if your tax residency / nationality / Citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from <http://www.apollomoney.com> or call at Head office at 044 - 71300 300 or write to registration@apollomoney.com

Signature (6)



Policies and Procedures of Stock Broker

Refusal of orders for penny stocks

The client is aware and agree that trading member PSB Securities Limited (PSBSL) on its risk perception may refuse or restrict client placing the order in penny stocks, illiquid stocks based on conditions like volume / value / part of illiquid stocks, although the client may have credit balance or sufficient margin in the trading account. However, the PSBSL has the discretion of allowing client order under cash and carry mode against 100 % cash is available for purchase and sales against stocks.

Setting up client's exposure limits

Intra day orders Margin based limit / exposures will be set for clients for transacting in Equity, Derivatives, Currency and Commodities and different margins for intraday and for carry forward trades. Margins can be paid in the form of cash and approved collateral. Collateral will be valued on daily basis at latest / previous day's closing price and appropriate hair-cut shall be applicable. List of approved collaterals / securities along with applicable haircut, is subject to revision from time to time based on exchange approved list, market volatility, quality of collateral and internal guidelines and same can be obtained from the Head office risk team. PSBSL may from time to time impose & vary limits on the orders that the client can place through front end trading system (including exposure limits, turnover limits, Qty limit, etc.). Further PSBSL may also vary or reduce the limits or impose new limits during trading hours on the basis of the company's risk perception and other factors considered relevant by the Stock Broker including but not limited to client's trade history / experience, if available, client's financial capacity and / or credit worthiness limits on account of the Exchange/SEBI directions/limits (such as broker level/market level limits in security specific/volume specific exposures etc.) and the Stock Broker may be unable to inform the client of such variation, reduction or imposition or the clients inability to route any order through the Stock Broker's trading system on account of any such variation, reduction or imposition of limits. However if the variation is in respect of existing positions all such variations will be informed to the client over the phone/messaging through the trading terminal/emails and if such variations results in closing out the clients position, the client will be provided 30 minutes or 10 minutes before the close of the market for the day to close the positions on his own and then the positions will be closed out by the broker. The client further agrees that the member may at any time, at its sole discretion and without prior notice, prohibit or restrict the client's ability to place orders or trade in securities through the member, or it may subject any order placed by the client to review before its entry into the trading systems and may refuse to execute / allow execution of orders due to but not limited to the reason of lack of margin / securities or the order being outside the limits set by Stock Broker / Exchange / SEBI and any other reasons which the Stock Broker may deem appropriate in the circumstances. The client agrees that the losses, if any on account of such refusal or due to delay caused by such review, shall be borne exclusively by the client. Also, PSBSL shall not allow the customer to take new / further position in the contracts that are crossing Market Wide Position Limit, Trading Member Position Limit, and Client Level Position Limit, as specified by SEBI / Exchange that are being under ban / restriction period. The client shall be allowed to trade only to decrease their position through offsetting positions in the said security during which the ban / restriction are in force and it is allowed only it comes within the permissible trading limit.

Applicable brokerage rate

Brokerage will be charged to the client based on the brokerage rates specified in the account opening form. Based on the volume of business done by the client and risk perception, PSBSL may increase / reduce the brokerage rate at its sole discretion, subject to the brokerage limits as prescribed by SEBI / Exchange. If there is any upward revision of brokerage, the same will be informed to the client with 30 days prior notice. The Client shall also liable to pay all the applicable taxes including Securities Transaction Tax, GST, Exchange transaction charge, clearing member charge, stamp duty and other

charges / statutory levies, if any as may be levied from time to time.

Charging of interest for late payment by the client

The client is required to pay all amounts due to the PSBSL on its due date for purchase of securities. In case the client does not pay the amount due on time, the member shall charge interest up to the rate of 2 % per month on the daily outstanding value or at such rates as may be determined from time to time. The interest will be charged from the time it become due till the time the obligation is cleared by the client. In addition the client agrees that the member may impose fines / penalties for any orders / trades of the client which are contrary to this agreement / rules / regulations of the exchange. Further, under the instances where the member has been penalized from any Authority on account of / as a consequence of orders / trades of the client, the same shall be borne by the client.

The right to sell client's securities or close client's position without giving notice to the clients on account of non-payment of client's dues limited to the extent of settlement / margin obligation.

If a client fails to make payment of consideration due to PSBSL in respect of any one or more securities purchased by him before the pay-in date notified by the exchange from time to time, PSBSL shall be at liberty to sell / liquidate the securities received in pay-out, after taking into account any amount lying to the credit of the client. For intraday positions taken from the trading terminal and carry forward positions it is the responsibility of client to track their margins/obligations by going through margin statements sent to the client on daily basis. Further positions taken under intraday trading product will be liquidated in auto square off session i.e (before market closing hours) though the customer has adequate carry forward margins. However the clients has the option of converting the intraday trading product to delivery product through their online trading platform or calling the dealers of centralised or authorised persons office they are mapped to. All carry forward positions should necessarily to have upfront complete margin including MTM losses upto the point of carry forward of the trade. No carry forward will be allowed for deficiency in margins including mtm margins and if the positions are not closed by the client, it will be closed by the broker. Without prejudice to other rights, PSBSL shall be entitled to liquidate/close out all or any of the client's positions, without giving notice to the client, for non-payment of margins or other amounts, outstanding debts, etc. Losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.

PSBSL has the right but not obligation, to cancel all pending orders and to sell/close/liquidate all open position in Equities, Derivatives, Currency and Commodities when mark to market percentage reaches or crosses stipulated margin percentage, whichever is earlier. In the event of such square off, the client agrees to bear all the losses based on actual executed prices. The client shall also be solely liable for all and any penalties and charges levied by the exchange(s).

Internal Shortages

In case if PSBSL is unable to deliver the securities to the client on the pay-out due to non receipt of securities from another client who is within the PSBSL who has sold, such transaction type are termed as internal shortages, where deliverer and receiver of the shares are within the PSBSL. In such scenarios PSBSL adopt exchange close out procedure wherein the close out shall be done at the price highest from the day of trading till the auction or up to 20 % above the official closing price on the auction day, whichever is higher. The close out value will be deducted on the short seller and credited to the buyer account. However for the scenarios for partial shortages PSBSL consider the auction price of the exchanges for the entire quantity.

Conditions under which a client may not be allowed to take further position or the broker may close the existing position

PSBSL may at any time at its discretion disallow the client from creating further /fresh position in any segment on any exchange or close out any existing position of the client based on various factors as listed below but not limited to:

Client has excess exposure and the value of the securities has come down.



Client has adequate exposure available but has not paid the MTM losses.

Client positions for carry forward does not have adequate margin including MTM losses incurred for the day and the margins are not increased before 30 minutes before the close of the day.

Client positions including margins reduced below 50% of the margin required for taking the position as a result of MTM losses and all such excess position will be squared up without giving any time for payment of margin. The client is expected to have upfront margin including expected MTM losses for the positions. No time is permitted for increasing the margin for all existing positions.

Client has adequate comfort by way of approved stocks in beneficiary account over and above their debit balances, but not cleared the overdue debit balances taken in temporary funding within 5 days from the date of pay in cash market segments.

Client having exposure on approved list of security and the approved security has been removed from the list and the client after deleting the security do not have enough margins for exposure.

Extreme volatility in the market or in particular scrip or in the F&O segment.

The client has breached the client-wise position limit.

The client has taken or intends to take new position in a security which is in the banned period.

If the surveillance department has reason to believe that exposure should not be given to the client due to the following reasons:-

The client who is calling for exposure through call and trade has failed to identify himself

There is reason to belief that the trade that is being carried by the person is contrary to SEBI guidelines like circular trading / creating artificial market for a security etc.

The client mails are bounced / letters are getting returned and such client is seeking exposure without giving a reasonable explanation for bouncing of mails / returned letters

The authorised person remain suspended and the client is mapped to the said authorised person and the client however would be allowed to place order through the centralized call and trade and not through the suspended AP

Temporarily suspending or closing a client's account at the client's request

PSBSL may at request of the client in writing will initiate for temporary suspension / closure the trading account. This request will be processed after confirming the same with the client by the KYC team. However before the trading account is temporarily suspended, the client would be required to clear all his dues / settlement of obligations.

Trades in the account of the client during the period of such temporary suspension shall not be permitted.

Notwithstanding any such suspension / closure, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to such closure / suspension shall continue to subsist and binding on the client.

The temporarily suspended account shall be reactivated only on submission of a written request from the client.

Deregistering a client

Deregistration of the client / Termination shall be at the sole discretion of PSBSL.

PSBSL may deregister the client under the following circumstances

If the client is suspected to be involved in any activities in violation of applicable Rules and Regulations or

If the client is debarred by SEBI or any other regulatory authority or as a part of surveillance measure, if a client appears to be indulging in manipulative practices

Other General Terms and Conditions

The Client agrees that company shall under no circumstances be held liable by the client in case of its online and or offline systems or Portal

or its website or any related third party's site / services access not being available in the desired manner for reasons including but not limited to natural calamity, floods, fire and other natural disasters, legal restraints, faults in the telecommunication network or Internet or network failure, software or hardware error or any other reason beyond its control. Company shall under no circumstances be liable for any damages whatsoever whether such damages are direct, indirect, incidental, consequential and irrespective of whether any claim is based on the loss of revenue, opportunity loss, investment, production, goodwill, profit, interruption of business or any other loss of any character or nature whatsoever and whether sustained by the client or any other person, representations warranties and covenants by the clients.

The client acknowledges that the employees of company / Authorised persons are not authorised to give out any advise and the client shall at all times be responsible and liable for his own action / inactions. The client also undertakes and agrees not to enter into any sort of private agreement or understanding or party for trading with employees of company / Authorised Person or sub broker and client be always at all times be responsible for the investment decisions. Any letter issued by the authorised person or any employees other than the authorised signatories namely Mr.P.B.Subramaniyan, Mr.P.S.Balasubramanian, and Mr.S.Anand will not be binding on the company.

Account ledgers, Contract notes, Trade bills, DP Statement, Holding Statement could be obtained from the centralized office and will also be sent by email from helpdesk@apollomoney.com or by post from the following address 102, AC Block, 2nd Street, 6th Main Road, Anna Nagar, Chennai - 600040. (Only on request basis, subject to charges)

At any point of time on 24/7 basis, the client can also log in to the back office to view and download all the transaction reports.

All funds payments are to be paid into company's bank accounts held in the name M/s PSB Securities Limited - Client A/c through Electronic fund transfers (EFT), RTGS / NEFT (Bank A/c details are available in company website www.apollomoney.com), by A/c payee cheques in the name of M/s PSB Securities Limited. If cheques are provided, please always mention trading client code, client name and on reverse side of the cheques and ensure that cheques are deposited only in the company's bank account and not handed over to anyone.

Clients for any support functions can contact our helpline numbers 044 : 71300300 during the office hours between 9 : 00 am to 6 : 00 pm on weekdays and between 9 : 30 am to 3 : 30 pm on 1st and 3rd Saturdays. Company will be closed on 2nd / 4th Saturdays and exchange declared holidays.

It is not practical to give written instructions for order, the client authorize company to accept the clients/ client's authorised representatives' verbal instructions/ orders in person or over phone and execute the same. The client understands the risk associated with verbal orders in person or over phone and executes the same.

The client understand the risk associated with verbal order and accept the same, and agrees that the client shall not be entitled to disown orders under the plea that same were not under his instructions.

The Client shall not act as Authorised Person without obtaining prior exchange approvals

The Company shall be entitled to adjust the surplus amount available on any segment against the dues to company on any segment across all segments of the Exchanges.

The company may at its discretion consider permitting the client to sell the shares pending against purchase settlement obligations.

I/ We have clearly understood and agree to abide by aforesaid policies and procedures.

I/We also understand and agree that these policies and procedures can be changed by PSBSL from time to time subject to posting of the amendments and modification therein on our website www.apollomoney.com and their applicability with prospective effect.

Signature ⑦


TARIFF SHEET - TRADING ACCOUNT

Dear Sir / Madam,

I hereby agree to pay the brokerage charges as per the following structure for my trading account with M/s. PSB Securities Ltd.,

Charges Head	Brokerage Rate %	Minimum Paise per share
Equity Cash Intraday		
Equity Cash Delivery		
Derivatives Future (%)		
Derivatives Options ₹ per lot		
Currency Futures (%)		
Currency Options ₹ per lot		
MCX Futures (%)		
MCX Options ₹ per lot		
Mutual Funds		

₹ 25 /- will be charged as minimum brokerage per contract note.

Call & Trade charges ₹ 15 /- per order

In addition to the brokerage, Goods and Service Tax (GST), Securities Transaction Tax (STT) / Commodity Transaction Tax (CTT), SEBI Turnover Fees, Exchange Turnover Charges, Clearing Member Charges, Stamp Duty will be levied separately as applicable from time to time.

The company reserves the right to revise the above within limits set by statutory authorities with sufficient intimation to client

Date:

Signature (8)

DEMAT ACCOUNT OPENING FORM - CDSL (DPID 12065600)

I/ We request to open a Depository Account in my / our name as per the following details. Date : DDMM20YY

ACCOUNT HOLDERS DETAILS

	ACCOUNT HOLDER NAME	PAN / UID:
Sole/First Holder (Mr. / Ms.) :		
Second Holder (Mr. / Ms.) :		
Third Holder (Mr. / Ms.) :		

- + Provide KYC Application Form for all Joint Holders

Name* :

*Name of the Sole Proprietor / Partnership Firm / Unregistered Trust should be mentioned above.

Nationality : ☐ Indian ☐ Other (Please Specify)

TYPE OF ACCOUNT (Please ✓ whichever is applicable)

(Please ✓ whichever is applicable)

STATUS	SUB-STATUS	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director <input type="checkbox"/> Individual Director Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Minor <input type="checkbox"/> Other _____ (Please Specify)	
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> Other _____ (Please Specify)	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts	
<input type="checkbox"/> Non - Individual	<input type="checkbox"/> Body Corporate <input type="checkbox"/> Trust <input type="checkbox"/> OCB <input type="checkbox"/> Bank <input type="checkbox"/> Other _____ (Please Specify)	To be

+ In case of Sole Proprietor / Partnership Firm / Unregistered Trust, Demat account should be opened in the name of Proprietor / Partners / Trustees.

DETAILS OF GUARDIAN	In case the account holder is minor
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In case the account holder is minor

Guardian's Name : _____

Relationship with the applicant : _____ PAN : _____

Additional Details :

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')		[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No	
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')		<input type="checkbox"/> Yes <input type="checkbox"/> No	
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____			<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA			<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)			
I/ We wish to receive dividend / interest directly in to my bank account through ECS (If not marked, the default option would be „Yes “) [ECS is mandatory for locations notified by SEBI from time to time]			<input type="checkbox"/> Yes <input type="checkbox"/> No
SMS Alert Facility Refer to Terms & Conditions Annexure Page 25	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).		<input type="checkbox"/> Yes <input type="checkbox"/> No

(Sole/First Holder)	(Second Holder)	(Third Holder)
(9a) 	(9b) 	(9c) 



Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I/We have read and understood the Terms and Conditions prescribed by CDSL for the same.										
	<input type="checkbox"/> Yes <input type="checkbox"/> No										
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST										
	<table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td>NSE</td> <td>PSB Securities Limited</td> <td>13397</td> </tr> <tr> <td>BSE</td> <td>PSB Securities Limited</td> <td>6104</td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)	NSE	PSB Securities Limited	13397	BSE	PSB Securities Limited	6104	
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)									
NSE	PSB Securities Limited	13397									
BSE	PSB Securities Limited	6104									
Easi	To register for Easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.	<input type="checkbox"/> Yes <input type="checkbox"/> No									

NOMINATION FORM FOR DEMAT ACCOUNT

To
PSB Securities Limited
102, AC Block, 2nd Street,
6th Main Road, Anna Nagar,
Chennai – 600 040.

Dear Sir / Madam

Nomination Registration No.	Dated

DP ID

1	2	0	6	5	6	0	0
---	---	---	---	---	---	---	---

Client ID

--	--	--	--	--	--	--	--

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that :

- ☐ I/We do not wish to nominate any one for this demat account .
- ☐ I/We **nominate** the following person **s** who is/ **are** entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name : *First Name:			
Middle Name:			
*Last Name			
*Address:			
*City			
*State			
*Pin			
*Country			
Telephone No.			
FAX No.			
PAN No.			
UID			
Email ID			
*Relationship with the BO:			
Date of birth (mandatory if Nominee is a minor) dd-mm-yyyy			
Name of the Guardian of Nominee (if nominee is a minor)			
*First Name:			
Middle Name:			
*Last Name			



*Address of the guardian of nominee:			
*City			
*State			
*Country			
*PIN			
Age			
Telephone No.			
FAX No.			
Email ID			
*Relationship of the Gaurdian with the nominee:			
Percentage of allocation of securities			
*Residual securities [Please tick any one nominee if tick not marked default will be first nominee]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note : Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

(Sole/First Holder)	(Second Holder)	(Third Holder)
(10a)	(10b)	(10c)

Note : One witness shall attest signature(s) / thumb impression(s)

Details of the Witness	First Witness
Name of Witness	
Address of Witness	
Signature of Witness	



DP FEE SCHEDULE

CDSL DP ID 12065600

Fee Head	Regular Scheme		Refundable Scheme		Basic Services Demat Account (BSDA)
Account Opening	Nil		Nil		Nil
Annual Maintenance Charges (AMC)	Individual	₹ 300 /-	Individual	₹3500/-	Holding Value between 0 to 50,000 - Nil Holding Value between 50,001 to 2 Lacs - ₹100 Holding Value more than 2 Lacs - Tariff of regular scheme
	Non-Individual	₹1500 /-	Non-Individual	₹5000/-	
Debit Transaction Charges (Market)	₹ 20/-		₹ 20/-		1.5 % of the transaction value subject to minimum of ₹ 75/-
Off Market Transaction charges	₹ 30/-		₹ 30/-		1.5 % of the transaction value subject to minimum of ₹ 75/-
Demat / Remat	₹ 50/ - per certificate		₹ 50/ - per certificate		₹ 100/- per certificate
Pledge (Creation / Confirmation / Closure / Invocation)	₹ 50/-		₹ 50/-		₹ 100/-
Delivery Instruction Booklet	₹ 50/- Per Booklet		₹ 50/- Per Booklet		₹ 100/- Per Booklet

Note:

- The above charges are exclusive of GST which will be levied as 'applicable' from time to time.
- The above rates are subject to change with 30 days prior intimation.
- Postal / Courier charges will be applicable , subject to minimum of ₹ 50/ per cover

SCHEME : ☐ Regular ☐ Refundable ☐ BSDA

I am aware of eligibility requirement for BSDA account, however wish to opt for regular scheme demat account

*Please tick the scheme opted for

I/We have read the above given information and agree to pay the same

(Sole/First Holder)	(Second Holder)	(Third Holder)
(11a)	(11b)	(11c)



RUNNING ACCOUNT AUTHORIZATION

Date:

To
PSB Securities Limited
102, AC Block, 2nd Street,
6th Main Road, Anna Nagar,
Chennai – 600 040.

Dear Sir / Madam,

I / We understand the maintenance of running account facility offered by the member which is optional in nature and this document has been signed by me / us voluntarily without any coercion or force.

For the purpose of my / our comfort and operational convenience. I / We authorize you to maintain a running account instead of settlement payout funds, delivery of securities, commodities to me/us. All funds, securities and commodities to my / our credit by you may be treated as upfront margins, collaterals, at your discretion, for allowing exposure to me / us, under this arrangements made at my / our specific request. I / We shall not claim any interest on the funds, collaterals kept in running account with you.

I / We understand and agree that the member may at its own discretion or on periodic basis payoff the balance lying to the credit of my / our trading account.

I / We understand and agree if my/ our have an outstanding obligation on the settlement date, you may retain the requisite funds, securities & commodities that is expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges

I / We understand and agree if my/our accounts turns to debit on any day during the settlement period , the same may be treated as settlement of funds for that settlement period and PSB Securities Limited will not be required to be settle the credit if any arising subsequently during the settlement period. I/ We agree that I/We shall be liable to pay the debit standing to my account on the settlement date or any other date

I / We confirm you that I / we will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds /securities & commodities or statement of account or statement related to it, as the case may be at your registered office.

I/ We request you to settle my fund, securities and commodities account (choose one option)

- ☐ Once in a Calendar Month
☐ Once in a Calendar Quarter

I/ We authorize you to retain an amount of up to Rs.10,000/- (net amount across segment and across stock exchanges) in order to avoid administrative difficulties in settling my / our account in accordance with by SEBI circular MIRSD/ SE /Cir-19/2009 dated Dec 03, 2009 & Exchange circular numbers NSE/INSP/2489,BSE20131029-15 dated 29th October 2013.

I / We further authorize you to retain an amount up to Rs.50,000/- in commodity derivative exchange in accordance to MCX exchange circular number MCX/INSP/325/2016, dated September 29, 2016 while settling my / our account.

This running account authorization would continue until it is revoked by me

Signature 12



RIGHTS & OBLIGATIONS OF MARGIN TRADING FACILITY (MTF)

1. Risk Management rules with regards to initial margin will be decided by Management from time to time and will be imposed and the same can be higher than the quantum prescribed by regulatory provisions. The client shall pay applicable initial margins or such other margins as are considered necessary by the stock broker or the Exchange or as may be directed by SEBI from time to time as applicable to Margin Trading segment in which the client trades.
2. The initial margin payable by the client to the Stock Broker shall be in the form of cash, cash equivalent or Group I equity shares, with appropriate hair cut as specified in SEBI Master circular no. SEBI/HO/MRD/DP/CIR/P/2016/135 dated December 16, 2016.
3. Eligible Scrips under facility: The Margin Trading facility is applicable to Group I scrips or as specified by SEBI / Stock Exchange(s) from time to time.
4. In order to avail margin trading facility, minimum initial margin required shall be as under:

Category of Stock	Applicable margin
Group I stocks available for trading in the F & O Segment	VaR + 3 times of applicable ELM*
Group I stocks other than F&O stocks	VaR + 5 times of applicable ELM*

*For aforesaid purpose the applicable VaR and ELM shall be as in the cash segment for a particular stock

5. The above mentioned rates of VAR margin are minimum and the same can be extended on case to case basis.
6. Limits & Exposure: PSBSL will provide exposure to client based on maximum allowable exposure limit as prescribed by SEBI/Exchange(s) from time to time. However, based on market conditions and the risk perception about the market the stock broker may set the Exposure limits on the basis of available base capital which may comprise of ledger and stocks (after suitable margin hair cut). The limits may be allowed on a multiplier basis to the available capital or actual VAR margin basis or a specified margin depending on the Market conditions. However on exceptional situations PSBSL may use its own discretion in providing the limits and may change for a client depending on market condition. In case overall position in a particular scrip or across all scrips has reached the particular specified limit prescribed by broker, then client may not be allowed to take further position.
7. PSBSL may close the existing / partial position of a client if the margin cover falls below minimum prescribed margin limits as specified by SEBI / Exchange(s) / PSBSL guidelines.
8. In case if debit / margin shortfall still exist upon closing the position, collaterals provided by client shall also be liquidated

to recover the debits / margin shortfall.

9. In case if the PSBSL has sufficient Margin cover on behalf of its client, it may still decide based on the market conditions and risk perception not to allow further position or may close the existing position of a client.
10. The above liquidation of position / collateral may be carried out by PSBSL at any point of time but not later than 5 working day from the day of margin call. If however for any reason the stocks are not sold within the aforesaid 5 days from the first margin call, the same shall be sold within 5 days from the date of the fresh margin call.
11. The Client agrees that he will remain informed by visiting Exchange websites about changes in the eligible stocks / collaterals that can be purchased / furnished for the Margin Trading Facility. The customer further agrees that he will take necessary action to replenish the stocks as collaterals in case of any margin shortfall to avoid any RMS Actions.
12. In addition to what the client has agreed PSBSL may terminate a client with immediate effect, but not limited to the following reasons –
 - If the client is debarred by SEBI or any other regulatory authority.
 - As a part of surveillance measure, if a client appears to be indulging in manipulative practices.
 - Under the circumstances when there is a reasonable ground to believe that the client is unable to clear its dues or has admitted its inability to pay its debt.
 - If the client violates any of the terms of the agreement.
13. In case of debit balance outstanding, PSBSL will charge interest on this balance at the rate of upto 2 % Per month.
14. In case client is already availing Margin Trading Facility with other brokers, client needs to inform PSBSL before availing this facility with PSBSL.
15. Collateral/Securities provided by client towards Margin should be totally unencumbered.
16. Any disputes arising between the client and PSBSL in connection with the margin trading facility shall have the same treatment as normal trades and will be covered under the investor grievance redressal mechanism, arbitration mechanism of the stock exchange
17. This aforesaid mentioned Right & Obligations of the company are in compliance with the Right & Obligations of NSE & BSE

Signature (13)



LETTER OF AUTHORIZATION FOR RECEIVING DIGITAL DOCUMENTS

To

PSB Securities Limited
102, AC Block, 2nd Street,
6th Main Road, Anna Nagar,
Chennai – 600 040.

Sub: Mandate for receipt of Digital / Electronic Documents / Communication

Dear Sir / Madam,

I / We wish to inform you that I / We are desirous of receiving Digital / Electronic Communication for the dealings carried out by me / us through electronic mode and In pursuance of the same, I / We hereby authorise and consent to send the following documents at my / our designated email address, as mentioned below instead of physical copies and the delivery of documents so made shall be effective and deemed delivery to meet the requirement of providing the said information (hereinafter referred as Digital / Electronic documents).

- ▶ Welcome Letter
- ▶ Account Opening Form along with Mandate documents as prescribed by SEBI & Exchanges
- ▶ Digitally signed contract notes
- ▶ Statement of Account / Ledgers
- ▶ Statement of Margin / Securities / Funds
- ▶ Rights and obligations for Trading and DP
- ▶ DP transactions cum holding statement
- ▶ DP Bills

Any other related notices, circulars, amendments, correspondence / documents Such Digital / Electronic Documents shall be duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made hereunder.

My / Our email address for the purpose is : _____

I / We understand that it is my / our responsibility to review all Digital / Electronic documents.

I / We agree that all information contained therein shall be binding on me / us if I / We do not object either in writing or via electronic mail within reasonable time after any such documents are available to me.

I / We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of all Digital Electronic documents to me / us on account of any non – receipt/ delayed receipt for any reason whatsoever.

I / We acknowledge that once Digital / Electronic documents are emailed by PSBSL at my / our designated email address, it shall be deemed to have been received by me / us and there will be no further requirement on the part of PSBSL to send the same physically to me / us unless Digital / Electronic Documents are rejected by my / our designated email address and is bounced back to PSBSL.

Thanking you,

(Sole/First Holder)	(Second Holder)	(Third Holder)
(14a)	(14b)	(14c)

**APPENDIX-A - ELECTRONIC CONTRACT NOTE (ECN) DECLARATION****To****PSB Securities Limited****102, AC Block, 2nd Street,****6th Main Road, Anna Nagar,****Chennai – 600 040.**

Dear Sir / Madam,

I _____ a client with Member
M/S. **PSB Securities Limited** of MCX Exchange undertake as follows:

- ▶ I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- ▶ I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- ▶ Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me.
- ▶ I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- ▶ My email* is _____
This has been created by me and not by someone else.
- ▶ I am aware that this declaration form should be in English or in any other Indian language known to me.
- ▶ I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the contract note at the above email ID.

The above declaration and guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email id must be written in own handwriting of the client)

Thanking you,

Date :

Place :

Signature (15)

**POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS THAT

1. I / We _____
S/o , D/o, _____ aged about _____
 2. I / We _____
S/o , D/o, _____ aged about _____
 3. I / We _____
S/o , D/o, _____ aged about _____
- residing / having our office / registered office at _____

_____ an individual / a sole proprietary concern / a partnership firm / a body corporate/ trust, registered/ incorporated, under the provisions of the Indian Partnership Act, 1932/ the Companies Act 1956 or any relevant Act, (hereinafter referred to as the "Client") have opened the following accounts with PSB Securities Limited.

1. Trading Account No. _____
2. Demat Account No. _____

Whereas in course of availing various financial services and for meeting the settlement (delivery) and / or margin obligation thereof on the various Exchanges. I / We hereby nominate, constitute and appoint PSB SECURITIES LIMITED (hereinafter referred to as "PSBSL"), a company incorporated under The Companies Act, 1956 and a Member of the National Stock Exchange of India Limited , Bombay Stock Exchange Limited and Multi commodity exchange of India (hereinafter referred to as "Exchanges"), also a Participant of Central Depository Services Limited (herein after referred to as "CDSL") and operating for the purpose of these presents from its Registered Office in India situated at No. 102, AC Block , 2nd Street, 6th Main Road , Anna Nagar, Chennai – 600040 , acting through any of its Directors and / or officers duly authorized by it for the purpose, as my / our behalf and at my/our risk and costs, with full authority, to so or cause to be done, all or any of the following acts, deeds, matters and / or things:

1. To operate the beneficial owner account(s) specified above on my/ our behalf and
 - (i) To issue instructions for the purpose of transferring the securities held in the aforesaid beneficial owner account(s) towards meeting the stock exchange related margin / delivery obligations including early pay-in arising out of trades executed by me / us on the stock exchanges through PSBSL.
 - (ii) To issue instructions for creating pledge of securities lying in the above mentioned beneficial owner accounts in favour of PSBSL for the purpose of meeting the margin requirements on me/our behalf in connection with the trades executed by me/us on the stock exchanges through PSBSL.
 - (iii) To make applications for subscribing to or purchasing/effecting redemption of the mutual funds units, subscribing to securities in public issues, rights issues (including additional shares), offer for sale of securities, tendering of shares in open offers or buyback etc upon my/our instructions in this regard.
2. To transfer securities held in my / our aforesaid beneficial accounts(s) for meeting the "Margin" and / or delivery obligation and /or towards pledge requirements and /or such instructions as may be necessary to fulfil my/our obligations arising out of trades done by me/us through PSBSL and effect the same to / from the following accounts or any other account that PSBSL shall inform me in writing from time to time and such account nos shall form a part this POA.

(Sole/First Holder)	(Second Holder)	(Third Holder)
(16a)	(16b)	(16c)



Name of DP	Depository	DP ID	DP Beneficiary A/c No	Purpose
Psb Securities Limited	CDSL	12065600	00003691	NSE Pay-In A/c
		12065600	00009558	BSE Principal A/c
		12065600	00009562	BSE Pay-In A/c
		12065600	00067946	NSCCL Collateral A/c
		12065600	00076321	Client Collateral A/c
		12065600	00077699	Client Margin Trading Securities A/c
Indian Clearing Corporation Limited	CDSL	11000010	00023614	BSE Early Pay in A/c
National Securities Clearing Corporation Of India Limited	CDSL	11000011	00018748	NSE Early Pay-in A/c
Il & Fs Securities Services Limited	NSDL	IN300095	12124716	NSE NSDL Settlement A/c
		IN300095	11521048	BSE NSDL Settlement A/c

- To return to me/us the securities that may have been received by PSBSL erroneously or those securities that PSBSL acting in the capacity of the stock broker was not entitled to receive from me/us.
- To send consolidated summary of my/our scrip wise buy and sell positions taken with average rates to me/us by way of SMS / email on a daily basis; notwithstanding any other document to be disseminated as specified by SEBI from time to time.
- And for all or any of the purpose aforesaid to appoint from time to time a substitute or substitutes and to revoke such substitution but so that the appointment of any such substitute shall not affect or prejudice the rights or powers of the Attorney to act hereunder and the Attorney may continue to do so notwithstanding such appointments.
- To do or omit to do such acts and things as PSBSL may in its discretion consider to be necessary or desirable in order to exercise its powers hereunder or to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.

AND I/We hereby agree that all such acts done by my/our above mentioned attorney(s) shall be deemed to be acts done by me / us and if necessary shall be ratified by me /us on the instructions of the said attorney(s).

AND I/WE DO HEREBY CONFIRM AND DECLARE THAT this Power of Attorney(s) shall be valid, effective operative until the letter of such revocation (without notice) is received at the Registered Office of PSBSL at Chennai provided however that notwithstanding such termination PSBSL shall be entitled to act upon the provisions of this authority for meeting and complete satisfaction of all the obligations created prior to receipt of letter of such termination at the registered office of PSBSL and cessation of this authority shall not affect or impair any act thereto done in exercise of these presents.

AND it is hereby clarified and declared that the Attorney(s) being a body corporate the powers hereinbefore granted may be exercised by any of its agents or employee to whom the Attorney(s) may delegated any of the powers aforesaid and accordingly the Attorney(s) may appoint and remove any sub agent or attorney(s) from time to time as it may consider appropriate. One of the authorized signatory of PSBSL. has accepted these presents. Made at _____, on this _____ day of _____ 20____

(Sole/First Holder)	(Second Holder)	(Third Holder)
(17a)	(17b)	(17c)

Witness 1	Witness 2
Name : Signature : Address :	Name : Signature : Address :

For PSB Securities Limited

POA ID :

Authorised Signatory

Date :



OPTION FORM FOR ISSUE OF DIS BOOKLET

DP ID

1	2	0	6	5	6	0	0
---	---	---	---	---	---	---	---

Client ID

--	--	--	--	--	--	--	--

Dear Sir / Madam,

I/We hereby state that [Select one of the option given below]

Option 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account, though I/ we have issued a power of Attorney (POA) in favour of M/s PSB Securities Limited for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

or

Option 2:

I / We do not require the delivery Instruction Slip (DIS) for the time being, Since I / We have settling stock exchange trades [settlement related transaction] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my /our request at any later date.

I / We here by select option 1 ☐ option 2 ☐

(Sole/First Holder)	(Second Holder)	(Third Holder)
(18a)	(18b)	(18c)

DECLARATION

I / We have read the Rights and Obligations and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time.

I / We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application.

I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form.

I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

(Sole/First Holder)	(Second Holder)	(Third Holder)
(19a)	(19b)	(19c)



To

Date :

PSB Securities Limited**102, AC Block, 2nd Street,****6th Main Road, Anna Nagar,****Chennai – 600 040.**

Dear Sir,

Sub: Authorisation for pledging / transfer of securities to the clearing Corporation / Clearing Member

Customer Name	
Trading Client Id	
DP ID : 12065600	DP Client id :

I/We have been dealing through you as a client on the Capital Market Segment, Futures & Options and Currency Derivative Segments of the National Stock Exchange of India Ltd, The Bombay Stock Exchange Ltd & MCX Stock Exchange Ltd

For the purpose of my / our operational convenience, I/ We have given a power of attorney appointing M/s PSB Securities Limited (PSBSL) to operate my / our beneficial owner account for transferring the securities held in the aforesaid beneficial owner account number towards meeting the stock exchange related margin / delivery obligations arising out of trades executed by me / us on the stock exchanges.

In this regard, in accordance with circulars/guidelines issued by SEBI/Exchanges, I / We hereby further authorize M/s PSB Securities Limited (PSBSL) may in turn transfer / pledge my / our securities lying with it as collateral to clearing Corporation or professional clearing member for obtaining exposure limits as required from time to time.

I/We also understand that M/s PSB Securities Limited (PSBSL) at any given point of time without any notice shall have the right to liquidate the collateral securities pledged with clearing corporation or professional clearing member , in case if there is default or total comfort falls below 125 % of the total ledger debit and in that event if there is a delay / failure by the client to make the pay-in of margin calls , I/We will not hold M/s PSB Securities Limited (PSBSL) responsible for any loss/damage arising out of such transfers or pledge of securities and or sale done by M/s PSB Securities Limited (PSBSL), pursuant to these authorisation.

I/We aware that the authorization will be in force till the same is revoked by me/us.

Client Signature (20)



To
PSB Securities Limited
102, AC Block, 2nd Street,
6th Main Road, Anna Nagar,
Chennai – 600 040.

Date :

Sub : Self Declaration for commodity wise categorization
Ref : Client Code:


With reference to SEBI's circular No. SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated January 04, 2019 as well as all relevant circulars of MCX, I/We wish to declare my/our client category as under:

[PLEASE TICK IN THE BOX ONLY FOR YOUR RELEVANT CLIENT CATEGORY FOR COMMODITY YOU DEAL INTO].

Commodity	Category of Participants				
	Farmers / FPOs	VCPs	DFII	FPs	Others
BULLION:					
Gold					
Silver					
BASE METALS :					
Aluminum					
Brass					
Copper					
Lead					
Nickel					
Zinc					
ENERGY :					
Crude Oil					
Natural Gas					
AGRI COMMODITIES :					
Black Pepper					
Cardamom					
Caster Seed					
Cotton					
Menthall Oil					
Rubber					
Kapas					

Categories of Participants

Farmers/FPOs includes:	farmers, farmers' cooperatives, Farmers Producers Organizations (FPOs) and such entities of like nature
Value chain participants (VCPs) includes:	Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockiest, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
Domestic financial institutional investors (DFII) includes:	Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives
Foreign participants (FPs) includes:	Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
Others includes:	All other participants which cannot be classified in the above categories.

Client Signature (21) 
(in case of non-individual, sign with rubber stamp)

Date :



Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

[SMS Alerts will be sent by CDSL to BOs for all debits]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.

2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for



fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID

1	2	0	6	5	6	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Mobile Number on which
messages are to be sent

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

The mobile number is registered in the name of: _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

(Sole/First Holder)	(Second Holder)	(Third Holder)
(22a)	(22b)	(22c)

KYC ACKNOWLEDGEMENT

Dear Sir /Madam,

Sub: Acknowledgement and confirmation

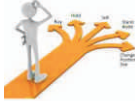
Date :

I / We hereby acknowledge the receipt of

1. KYC Form.
2. Rights and obligations of stock brokers, sub brokers and clients as prescribed by SEBI, Stock and Commodity exchanges.
3. Rights and Obligations of Beneficial Owner and Depository Participant.
4. Risk Disclosure Document for capital market and derivatives segments.
5. Guidance Note - Dos & don'ts for trading on the exchange(s) for investors.
6. Policies & Procedures of stock broker.
7. Tariff sheet for trading account and depository services.

Thanking you

(Sole/First Holder)	(Second Holder)	(Third Holder)
(23a)	(23b)	(23c)



PSB SECURITIES LIMITED

Regd. Office : No. 102, AC Block, 2nd Street,
6th Main Road, Anna Nagar, Chennai - 600 040. Tel No. : 044 - 71 300 300 (99 Lines)
Email :customercare@apollomoney.com

www.apollomoney.com